

## PPAP Updated Environmental and Social Management Framework for Additional Financing

### Appendix 1a - Environmental and Social Screening Form for Partnerships

[Note: The screening shall be conducted by the PMU with Applicant or Lead Partner. In doing the screening, the PMU may seek assistance from the Environmental Specialist as necessary. This form must be included in the Partnership proposal package (i.e. the package containing the Partnership Agreements, Program of Activities, ESMP and other safeguard documents) and must be constantly updated/ replaced by newly filled form to reflect newly complied requirements, if any. The entire proposal package and this form shall not be cleared for TAC appraisal until all the requirements identified through the screening questions have been satisfactorily complied with, except when the remaining unfulfilled requirement is not critical and compliance is guaranteed and already in process.]

**Name of Applicant (Lead Partner):** \_\_\_\_\_

**Location of Proposed Partnership:** \_\_\_\_\_

**Estimated Number of Farmers:** \_\_\_\_\_

**Estimated Total Cost :** \_\_\_\_\_

Screening Question	N/Y	If Yes, Instruction/ Requirements	Screener's Remarks/ Further Instructions
1. Would any of the proposed Partnership activities encroach on any protected area of natural habitat or a natural forest?		If YES the proposal is NOT ELIGIBLE for a funding. To be eligible, the Partnership needs to modify or exclude those activities from the proposal.	
2. Would the proposed Partnership involve conversion of existing forested area into new coffee or cocoa block or as site for its facility?		If YES, the proposal is NOT ELIGIBLE for funding.	
3. Would any of the proposed Partnership activities adversely affect or alter structures or areas of great cultural or historical significance?		If YES, the proposal is NOT ELIGIBLE for financing.	
4. Would any of the proposed Partnership activities cause involuntary land acquisition, or displacement of residents/homes?		If YES, the proposal is NOT ELIGIBLE for financing.	
5. Does the proposed Partnership include construction of any processing plant, warehouse or other post harvest facilities?		If YES, the Applicant needs to consult DEC for further screening of requirements.	
8. Based on DEC's screening, does the		If YES, the Partnership	

proposed construction activity fall within Level 2 or higher category under the Environmental Regulatory Framework?		needs to conduct EIA and secure Environmental Permit from DEC.	
7. Does the proposed Partnership include construction of a facility that would reduce people's access to pasture, water, public services or other resources that they depend on?		If YES, design or location must be modified to provide access routes/corridors. If not possible, a new site should be considered and the proposal shall go through the entire screening process again.	
8. Is the use of chemical pesticide or herbicide currently prevalent in the proposed Partnership area of coverage?		If YES, a training program on the proper handling of pesticide shall be included in the Program of Activities.	

**Requirements** (Kindly tick the appropriate column.)

<b>Requirement</b>	<b>TBD</b>	<b>Yes</b>	<b>No</b>
Evidence of Prior Consultation and Broad Community Support (e.g. gender-segregated attendance sheets, list of issues, photos, and endorsement from local Ward)		X	
EIA/Environment Permit from DEC			
ESMP (also check any specific required measure below)		X	
Training on safe pesticide use/handling			
Training on IPM and good farm practice			
Measures to address malnutrition			
Measure to address potential food security issue			
Measure to address illiteracy			
Measure to address alcoholism			
Measure to address HIV/AIDs			
...			
...			
Voluntary land use agreement with landowner (e.g. for communal facility such as nursery, budwood garden, or post harvest facility)			
Compensation Plan for affected crops or asset during any construction			
...			
...			

...			
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\*TBD=to be determined after assessment

**Screening Result Summary (check items that apply based on the above screening):**

Proposal is rejected and is returned to the applicant (please state reason):

\_\_\_\_\_

Proposal needs revision (please specify revision needed):

\_\_\_\_\_

Proposal needs additional requirements (please enumerate):

\_\_\_\_\_

Proposal is being referred to the PCU Environmental Specialist for further advice on:  
(Please describe the issue that needs further advice):

\_\_\_\_\_

Proposal passed the screening and is recommended to proceed to the next step.

**Name of the Screening Officer:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**PPAP Updated Environmental and Social Management Framework for Additional Financing**

**Appendix 1b - Environmental and Social Screening Form for Market Access Infrastructure**

[Note: The screening shall be conducted by the PMU with Applicant or Lead Partner. In doing the screening, the PMU may seek assistance from the Environmental Specialist as necessary. This form must be included in the Infrastructure proposal package and must be constantly updated/ replaced by newly filled form to reflect newly complied requirements, if any. The entire proposal package and this form shall not be cleared for ICC endorsement until all the requirements identified through the screening questions have been satisfactorily complied with, except when the remaining unfulfilled requirement is not critical and compliance is guaranteed and already in process.]

**Name of Applicant Community/Partnership:** \_\_\_\_\_

**Location of Proposed Partnership:** \_\_\_\_\_

**Estimated Number of Farmers:** \_\_\_\_\_

**Type of Market Access Infrastructure (Feeder Road, Path, Wharf, Jetty):** \_\_\_\_\_

**Scale (Length in km, etc.)** \_\_\_\_\_

**Estimated Total Cost:** \_\_\_\_\_

Screening Question	N/Y	Requirement/ Instruction	Screener's Remarks/ Further Instructions
1. Would the proposed infrastructure subproject: <ul style="list-style-type: none"> <li>• Encroach on any protected area of natural habitat or a natural forest?</li> <li>• Caused involuntary land acquisition, or displacement of homes?</li> <li>• Adversely affect or alter structures or areas of great cultural or historical significance?</li> </ul>		If the answer of either of the items is YES the proposal is NOT ELIGIBLE for a funding.	
2. Would the infrastructure reduce some people's access to pasture, water, public services or other resources that they depend on?		If YES, infra design must be modified to provide access routes/corridors. If not possible, a new site should be considered and the proposal shall go through the entire screening process again.	
4. Is there a high probability of encountering cultural or scientific artifacts in the construction site?		If YES, the PMU has outline a "Chance Find" procedure which should be included in the contractor's contract.	
5. Based on DEC's criteria, does the proposed infrastructure activity fall within Level 2 or higher category under the Environmental Regulatory		If YES, the PMU shall cause the conduct EIA and secure Environmental Permit	

Framework?		from DEC.	
6. Lead to creation of open pits?		If YES, the program of work of the construction should include filling and grading of the open pit area.	
7. Lead to construction wastes?		If YES, a waste minimization and disposal strategy/plan must be indicated in the ESMP	
8. Lead to loss of vegetation?		If YES, a vegetation loss minimization strategy/measure shall be indicated in the ESMP.	
9. If quarrying is needed, has an arrangement on the quarry site been made and appropriate mitigating measures pertaining to the quarrying activities been included in the ESMP?		If NO, proposal is not accepted until quarry arrangement has been made and mitigating measures included in the ESMP.	
10. If substantial solid waste is generated, has a landfill/disposal site arrangement been made?		If NO, proposal is not accepted until the applicant is able to show evidence that disposal site arrangements have been made.	

**Requirements** (Kindly tick the appropriate column.)

<b>Requirement</b>	<b>TBD</b>	<b>Yes</b>	<b>No</b>
Evidence of Prior Consultation and Broad Community Support (e.g. gender-segregated attendance sheets, list of issues, photos, and endorsement from local Ward)		X	
EIA/Environment Permit from DEC			
Arrangement or Agreement/Permit for Quarry site			
Arrangement or Agreement/Permit for waste disposal site			
ESMP (also check any specific required measure below)		X	
Slope stabilization measure			
Access road maintenance during hauling of materials			
...			
...			
Voluntary land use agreement with landowner for temporary access during construction			
Compensation Plan for affected crops or asset during any construction			
...			
...			
...			

\*TBD=to be determined after assessment

**Screening Result Summary (check items that apply based on the above screening):**

Proposal is rejected and is returned to the applicant (please state reason):

\_\_\_\_\_

Proposal needs revision (please specify revision needed):

\_\_\_\_\_

Proposal needs additional requirements (please enumerate):

\_\_\_\_\_

Proposal is being referred to the PCU Environmental Specialist for further advice on:  
(Please describe the issue that needs further advice):

\_\_\_\_\_

Proposal passed the screening and is recommended to proceed to the next step.

**Name of the Screening Officer:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_